



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,921	06/21/2001	Marco Peretti	702265.0006

CONFIRMATION NO. 6896

FORMALITIES LETTER



\*OC000000006413589\*

John W. Ryan  
WILMER CUTLER & PICKERING  
2445 M. Street, N.W.  
Washington, DC 20037-6000

Date Mailed: 08/13/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/16/2001 SSESHE1 00000004 09884921

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/884,921	06/21/2001	2131	445	702265.0006/7	10	30	3

CONFIRMATION NO. 6896

## FILING RECEIPT



\*OC00000006413588\*

John W. Ryan  
WILMER CUTLER & PICKERING  
2445 M. Street, N.W.  
Washington, DC 20037-6000



Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Marco Peretti, ~~Residence Not Provided,~~

MAMER, LUXEMBOURG

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/276,185 03/16/2001

## Foreign Applications

If Required, Foreign Filing License Granted 08/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Method and system for shadowing accesses to removable medium storage devices

Preliminary Class

713

DOCKETED

8/15/01 zed

RECEIVED

AUG 15 2001

WILMER, CUTLER  
& PICKERING

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Data entry by : POINOT EDWARD

Team : OIPE

Date: 08/13/2001

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



#3  
PATENT APPLICATION  
Docket No. 702265.0007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): Marco PERETTI

Serial No. 09/884,921

Group Art Unit: 2131

Filed: June 21, 2001

Examiner: Unassigned

Title: METHOD AND SYSTEM FOR SHADOWING ACCESSES  
TO REMOVABLE MEDIUM STORAGE DEVICES

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX MISSING PARTS**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the communication of August 13, 2001, Applicant submits a Declaration/Power of Attorney for filing in the above-identified application, the required fee for a small entity of \$65.00, and a copy of the Notice of Missing Parts. Please associate the enclosed Declaration with this case.

Please grant any necessary extensions of time and charge any additional fees due or credit any overpayments to our Deposit Account No. 50-1656.

Respectfully submitted,

WILMER CUTLER & PICKERING

Dated: October 12, 2001

John W. Ryan  
John W. Ryan  
Registration No. 33,771

WILMER, CUTLER, & PICKERING  
2445 M Street, N.W.  
Washington, D.C. 20037  
(202) 663-6446  
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Receipt #4  
**PATENT APPLICATION**  
Docket No. 702265.0007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): Marco PERETTI

Serial No. 09/884,921

Group Art Unit: 2131

Filed: June 21, 2001

Examiner: Unassigned

Title: METHOD AND SYSTEM FOR SHADOWING ACCESSSES  
TO REMOVABLE MEDIUM STORAGE DEVICES

**REQUEST FOR CORRECTED FILING RECEIPT**

**BOX PATENT APPLICATION**

Assistant Commissioner of Patent

Washington, D.C. 20231

Sir:

The residence for inventor Marco PERETTI is missing from the Official Filing Receipt for the above-identified case, and should read --**Mamer, Luxembourg--**, as indicated in red ink on the attached copy of the filing receipt.

Additionally, the Attorney Docket No. for this case has changed. The new Attorney Docket No. is **702265.0007**. Please use this number in all future correspondence for this case. Please forward a corrected filing receipt to us as soon as possible.

Please charge any fees due in connection with the filing of this request or credit any overpayments to our Deposit Account No. 50-1656.

Respectfully submitted,

WILMER CUTLER & PICKERING

Dated: October 12, 2001

John W. Ryan

John W. Ryan  
Registration No. 33,771

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